

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2681

OCT 0 1 2001
Technology Cent

In re patent application of

Sung-jin KIM et al

Serial No. 09/909,858

Filed: July 23, 2001

For: TRANSMISSION ANTENNA DIVERSITY METHOD, AND BASE STATION

APPARATUS AND MOBILE STATION APPARATUS THEREFOR IN MOBILE

**COMMUNICATION SYSTEM** 

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of a documents known to applicants in order to comply with applicants' duty of disclosure pursuant to 37 C.F.R. §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 C.F.R. §§1.97 & 1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application. Applicants do not waive any rights to take any action that would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

## CONCISE EXPLANATION OF RELEVANCE OF EACH DOCUMENT

These references are discussed in the specification of the instant application; see page 4.

The listed documents are being submitted in compliance with 37 C.F.R. §1.97(b)(3), submission before the mailing date of the first action on the merits.

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Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

Respectfully submitted,

September 27, 2001

Date

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FORM PTO 1449 (modified)				SERIAL 09/909,8					
U.S. DEPARTMENT OF COM	APPLICANT Sung-jin KIM et al								
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	ATENT A TR	AUER	U.S. PATENT D	OCUMENTS					
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NA	ME	CLASS		SUBCLASS	FILING DATE IF APPROPRIATE	
	5,471,647	11/28/1995	GERLACH ET AL	_					
	5,634,199	5/27/1997	GERLACH ET AL	·					
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EXAMINER DATE CONSIDERED									

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.